

NON-PROVISIONAL  
UTILITY PATENT APPLICATION  
TRANSMITTAL - 37 CFR 1.53(b)

☐ Duplicate  
(check, if applicable)

15364 U.S. PTO  
10/786676  
022504

MAIL STOP PATENT APPLICATION

Attorney Docket No.: 10774-64U2  
(WESX/P19241U2)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

First Named Inventor: S. Davis  
Express Mail Label No.: EV199928272US  
Total Pages of Transmittal Form: 2

Transmitted herewith for filing is the non-provisional utility patent application entitled:

CONJUGATE OF POLYETHYLENE GLYCOL AND CHITOSAN

which is:

- an ☐ Original; or  
a ☐ Continuation, ☒ Divisional, or ☐ Continuation-in-part (CIP)  
of prior Application No. 10/350,714 filed January 24, 2003 which is a Divisional of prior  
Application No. 09/462,189 filed September 29, 2000, which in turn is a § 371 entry of  
International Application No. PCT/GB98/01971.  
Anticipated Group/Art Unit: 1711 or Class 525, Subclass 192.000.  
☐ This non-provisional patent application is based on Provisional Patent Application No. ,  
filed

Enclosed are:

- ☒ Specification (including Abstract) and claims: 27 pages.
- ☒ 3 sheets of drawings (formal).
- ☐ Application Data Sheet.
- ☒ Copy of Declaration from prior application.
- ☐ Separate Power of Attorney (including 37 CFR 3.73(b) statement, if applicable).
- ☐ Microfiche computer program (Appendix).
- ☐ Nucleotide and/or Amino Acid Sequence Submission, including:
- ☐ Computer readable copy ☐ Paper Copy ☐ Verified Statement.
- ☐ Under PTO-1595 Cover Sheet, an assignment of the invention
- ☒ Name of Assignee: West Pharmaceutical Services Drug Delivery & Clinical  
Research Centre Limited.
- ☒ Certified copy of Application Nos. PCT/GB98/01971 and GB97/13980.2 (filed  
July 3, 1997) are filed:  
☐ herewith or ☒ in prior application 09/462,189, filed September 29,  
2000.
- ☐ Applicant(s), by its/their undersigned attorney, claim(s) Small Entity Status under  
37 C.F.R. §1.27 as ☐ an Independent Inventor, or ☐ a Small Business Concern,  
or ☐ a Non-Profit Organization.
- ☐ Preliminary Amendment.
- ☒ Information Disclosure Statement, PTO/SB/08A.
- ☐ Request for Nonpublication of Application Under 35 U.S.C. §122(b)
- ☐ Other:

The filing fee is calculated as follows:

			SMALL ENTITY			LARGE ENTITY	
CLAIMS	NO. FILED	NO. EXTRA	BASIC FEE:			BASIC FEE:	
			\$385			\$770	
Total	18-20 =		X9	\$	OR	X18	\$
Independent	2-3 =		X43	\$	OR	X86	\$
<input type="checkbox"/> Multiple Dependent Claims Present			\$145	\$	OR	\$290	\$
			<b>TOTAL</b>	<b>\$</b>	<b>OR</b>	<b>TOTAL</b>	<b>\$ 770.00</b>

- ☐ The Commissioner is not authorized to charge the filing fee at this time as we elect to defer payment of the entire filing fee until receipt of a Notice to File Missing Parts
- ☒ A check in the amount of \$770.00 to cover the filing is enclosed.
- ☒ The Commissioner is hereby authorized to charge and/or credit **Deposit Account No. 50-1017 (Billing No. 210774.0067)** as noted below. A duplicate copy of this sheet is enclosed.
- ☒ Any overpayments or deficiencies in the above-calculated fee.
- ☐ Filing fee in the amount of \$\_\_\_\_\_ as calculated above.
- ☒ Any additional fees required under 37 C.F.R. § 1.16 and § 1.17.
- ☒ In the event that a Petition for Extension of Time is required during the prosecution of this application, but not submitted, please charge any extension fee under 37 C.F.R. § 1.136(a) to our Deposit Account noted above.

#### CORRESPONDENCE ADDRESS

25 February 2004 By: Kristyne A. Bullock  
 (Date)

**KRISTYNE A. BULLOCK**  
 Registration No. 42,371  
**AKIN GUMP STRAUSS HAUER & FELD LLP**  
 One Commerce Square  
 2005 Market Street, Suite 2200  
 Philadelphia, PA 19103-7013  
 Telephone: 215-965-1200  
**Direct Dial: 215-965-1348**  
 Facsimile: 215-965-1210  
 E-Mail: kbullock@akingump.com

KAB:cmb  
 7147800

☒ Customer Number or Bar Code Label: **000570**